		A Adams Abans
DS - 01/30/2006		Approved for use throu
		U.S. Patent and Trademark Office; U.S. I
	•	U.S. Falent and Trademark Unice, U.S. L

ugh 07/31/2006. OMB 0651-0031 DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

1006 RMATION DISCLOSURE EMENT BY APPLICANT

for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known Application Number 10/016,897 Filing Date 12/3/2001 First Named Inventor Pham, Duc Art Unit 2137 **Examiner Name** Teslovich, T. Attorney Docket Number AESN3005

		\	II S PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 59319 7	08.03.1999	Burns et al.	
		^{US-} 5918074	06.29.1999	Wright et al.	
		US- 5872783	02.16.1999	Chin	
		US- 5905725	05.18.1999	Sindhu et al.	
		US- 6260155	07.10.2001	Dellacona	
		US- 6160819	12.12.2000	Partridge et al.	
		US- 6266.705	07.24.2001	Ullum et al	
		us- 6263445	07.17.2001	Blumenau	
		^{US-} 6157955	18,05.2000	Nerad et al.	
		US- 6252878	06.26.2001	Locklear, r. et al.	
		us- 6292827	09.18.2001	Raz	
		^{US-} 6351775	02.26.2002	Yu	
		^{US-} 6091720	07.18.2000	Bedard et al.	
		us-`6078943	06.20.2000	Vu	
		US-			

		/ FOR	REIGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant on Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
		/.				
					\	L
•				·		

Examine Date /Tamara Teslovich/ 07/23/2006 Considered Signature

EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate services in the serial number of the patent document. The serial is to place a check mark here if English language. ee Kinds Codes of

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (a USPTO o process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any complete amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent any Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND 6: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Manager for form 1449/PTO **Application Number** 10/016,897 Filing Date 12/3/2001 FORMATION DISCLOSURE First Named Inventor Pham, Duc ATEMENT BY APPLICANT Art Unit 2137 (Use as many sheets as necessary) **Examiner Name** Teslovich, T. AESN3005 Attorney Docket Number

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (I known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 54539 19	09.26.1995	Schibler et al.	
		US- 5850395	12.15.1998	Hauser et al.	
		US- 5974463	10.26.1999	Warrier et al.	
		US- 6253193	06.26.2001	Ginter et al.	
		US- 6259699	07.10.2001	Opalka et al.	
		US- 6157649	12.05.2000	Peirce et al.	
		US- 5754791	05.19.1998	Dahlgren et al.	
-		^{US-} 5566170	10.15.1996	Bakke et al.	
		US-			
•	-	US-	'	/	
		US-	<i> </i>		l ·
		US-	-		
		US-			
		US-			,
		US-	1		

		FORE	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code" (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
					`	
					•	
				. \		
-					\	L

Examiner Signature

/Tamara Teslovich/

Date Considered

07/23/2006

*EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO o process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Paragrams of Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND OC. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sheet

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

for form 1449/PTO

(Use as many sheets as necessary)

16

,	Complete if Known	
Application Number	10/016,897	
Filing Date	12/3/2001	
First Named Inventor	Pham, Duc	
Art Unit	2137	
Examiner Name	Teslovich, T.	
Attorney Docket Number	AESN3005	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		IBM, IBM Network Processor (IBM32NPR161EPXCAC133) Product Overview, Published 10/04/1999	,
		BROADCOM, BCM5820 E-Commerce Product Brief, 5820-PB00-R-3.26.01, Published 2001	
		BROADCOM, BCM5840 Gigabit Security Processor Product Brief, 5840-PB00-R-12.6.00, Published 2000	
		IBM, The Network Processor Enabling Technology for High-Performance Networking, Rublished 8-99	
		IBM, Packet Routing Switch PRS28G Product Sheet, Undated.	
		IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001	
		IBM, IBM PowerNP TM NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001	
		IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001	

Date Examiner /Tamara Teslovich/ 006 07/23/2 Considered Signature

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicants unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTC to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent at Tademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known **Application Number** 10/016,897 INFORMATION DISCLOSURE Filing Date 12/3/2001 STATEMENT BY APPLICANT First Named Inventor Pham, Duc Art Unit 2137 many sheets as necessary) **Examiner Name** Teslovich, T. Attorney Docket Number Sheet **AESN3005** d of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		ALAN RADDING, Storage ROI: Truth & Fiction, Infoworld Custom Meda Group, 2001	
		IETF, IP STORAGE WORKING GROUP, iSCSI Requirements and Design Considerations, Document: draft-ietf-ips-iscsi-reqmts-05.txt, July 2001	
		IETF, IP Security Working Group, Security Properties of the IPsec Protocol Suite, Document draft-krywaniuk-ipsec-properties 00.txt, July 9, 2001	
		IETF, iSCSI, Document draft-letf-ipg-iscsi-08.txt, 30-Sep-01	

Examiner Date 07/23/2006 /Tamara Teslovich/ Signature Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Viclude copy of this form with next communication to applicant.

1 Applicants unique citation designation number (optional). 2 Applicant it to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Vademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE EMENT BY APPLICANT

Substitute for form 1449/PTO

Examiner

Translation is attached.

(Use as many sheets as necessary)

Complete if Known Application Number 10/016,897 Filing Date 12/3/2001 First Named Inventor Pham, Duc Art Unit 2137 **Examiner Name** Teslovich, T. Attorney Docket Number AESN3005

				DOCUMENTS	
Examiner Initials*	Cite No.1	Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 59319 4	08.03.1999	Chiu et al	
		^{US-} 2002/0184487	12.05.2002	Badamo et al.	
		US-			,
		US-	·	. /	
	·	US-	X		:
		US-			
		US-			
		US-		7.	
		US-			
	-	US-			

		FORE	IGN PATENT DOCU	MENTS	·	
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code" (if known)	MM-DD-YYYY		Or Relevant Figures Appear	To
		/ .				
						匚
				· · · · · · · · · · · · · · · · · · ·		L

Signature *EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

/Tamara Teslovich/

Date

Considered

07/23/2006

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Palent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND OC Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/016,897 Filing Date 12/3/2001 INFORMATION DISCLOSURE First Named Inventor Pham, Duc et al ATEMENT BY APPLICANT Art Unit 2137 (Use as many sheets as necessary) **Examiner Name** Teslovich, T. AESN3006 Attorney Docket Number

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (* known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
-		US- 6038658	03-2000	Chipman et al.	/
		US- 6529950	03-2003	Lumelsky et al.	
		US- 6199077	03-2001	Inalla et al.	
		US- 6594763	07-2003	Madoukh	
		US- 6330677	12-2001	Madoukh-	
		US- 6477644	11-2002	Turunen	
		US- 6542992	04-2003	Peirce et al.	
		US- 6560217	05-2003	Peirce et al.	
		^{US-} 6845395	0 -2005	Blumenau et al.	
		US-			
· 		US-			
		US-			
		US-			
		US-			:
		US-			···

		FORE	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Τ.
		Country Code ³ Number ⁴ Kind Code (if known)	MM-DD-YYYY		Or Relevant Figures Appear	70
						╄
	<u> </u>					<u> </u>
	<u> </u>					╄
					\	╄
	<u> </u>				<u> </u>	<u></u>
Examiner Signature	T	/Tamara Teslovio	ch/	Date Considered	07/23/2006	

*EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). For Japanese patent focuments, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collegion of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Parent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND O: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2006. OMB 0651-0031

AESN3006

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Application Number 10/016,897

Filling Date 12/3/2001

First Named Inventor Pham, Duc

Art Unit 2137

[Use as many sheets as necessary]

Complete if Known

Application Number 10/016,897

Filling Date 12/3/2001

First Named Inventor Pham, Duc

Art Unit 2137

Examiner Name Teslovich, T.

Attorney Docket Number

U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Relevant Passages or Relevant Name of Patentee or **Publication Date** Examiner Document Number MM-DD-YYYY **Applicant of Cited Document** vant Passages or Relevant initials* -Kind Code^{2 (I known)} Figures Appear US-05-09-2000 Malkin et al. Col 4, lines 23-28. 6.061 **6**50 A US- 5,564,106 A 10-08-1996 Puhl et al. Col 2, lines 9-57; US-Col 4, lines 13-44. US- 6.405,315 B1 06-11-2002 Burns et al. Col 2, lines 28-44. ŪS: US-US-US-USus. US-US-US-US-US-US-US-US-

		FOREIG	N PATENT DOCU	MENTS \		
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ³ Number ⁴ Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	τ°
-						F
			 			┢
					<u> </u>	┺
	<u> </u>		<u>.L</u>		<u> </u>	

Examiner Signature

/Tamara Teslovich/

Date Considered

07 23/2006

*EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriat symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collegion of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Parent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

0: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE ATEMENT BY APPLICANT

Substitute for form 1449/PTO

Examiner

Signature

(Use as many sheets as necessary)

Complete if Known Application Number 10/016,897 Filing Date 12/3/2001 First Named Inventor Pham, Duc Art Unit 2137 **Examiner Name** Teslovich, T. AESN3005 Attorney Docket Number

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,822,331	10-13-1998	Gorczyca et al.	
		^{US-} 6,006,259	12-21-1999	Adelman et al.	
		US- 6,470,389	10-22-2002	Chung et al.	
		^{US-} 6,493,318	12-10-2002	Bare	
		^{US-} 6,493,341	12-10-2002	Datta et al.	
		^{US-} 5,655,120	08-05-1997	Witte et al.	
		US- 5,819,045	10-06-1998	Raman et al.	
		US- 5,539,883	07-23-1996	Allon et al.	
		^{US-} 5,862,348	0 19-1999	Pedersen	
		^{US-} 5,774,668	06-30-1998	Choquier et al.	
		^{US-} 6,078,960	06-20-2000	Ballard	
		^{US-} 6,480,861	11-12-2002	Kane sky et al.	
		^{US-} 6,487,605	11-26.2002	Leang	
		US- 6,327,622	12-04-2001	indal et al.	
		US- 6,438,652	08-20-2002	Jordan et al.	
		US- 6,424,621	07-23-2002	Ramaswamy et al.	
		^{US-} 6,173,306	01-09-2001	Raz et al.	
		^{US-} 6,272,522	08-07-2001	Lin et al.	
		^{US-} 6,505,254	01,07-2003	Johnson et al.	·

	-	FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	7°
		. /				<u> </u>
					\	
				•		

*EXAMINER: Initial if deference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Decuments at www.uspto.gov or MPEP 901.04. 'Senter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language

Date

Considered

07/23/2006

Translation is attached. This collection of Information Is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO/to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

/Tamara Teslovich/

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known			X
				Application Number	10/016,897		
INFORMATION DISCLOSURE				Filing Date	12/3/2001		
STATE	ZMEN	IT BY A	PPLICANT	First Named Inventor	Pham, Duc		
		any sheets as ne	neoceand	Art Unit	2137	. /	
(USB as VIII	iny sneets as ne	ecessary)	Examiner Name	Teslovich, T.	• /	
Sheet	0	of	16	Attorney Docket Number	AESN3005		
				-			
			NON PATI	ENT LITERATURE DOC	UMENTS		
Examiner Initials*	Cite No.1	Include na the item (b	ok, magazine, jou	n CAPITAL LETTERS), title irnal, serial, symposium, cat , publisher, city and/or coun	alog, etc.), date, p	bage(s), volume-issue	T²
			A et al., Virtual tems White Pa	lization — The Promi aper, 2001	se and the Re	eality, Sun	
		Real-Time Disclosure	e Mechanisin for e Bulletin, April 1	Accounting and Netwo	ork Acces Contr A, pg 337-34	rol, IBM Technical 0, April 1, 1994	
						·	
			·. /				
						-	
•							
Eva-:				<u> </u>	Date		
Examiner Signature		/Tan	mara Teslovio	ch/	Considered	07/23/2006	
*EXAMINER:	EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not possidered. Meture copy of this form with next communication to applicant					not	

considered. Include copy of this form with next communication to applicant.

1 Applicants unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Vademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Application Number 10/016,897

Filing Date 12/3/2001

First Named Inventor Pham, Duc

Art Unit 2137

[Use as many sheets as necessary]

Complete if Known

Application Number 10/016,897

Filing Date 12/3/2001

First Named Inventor Pham, Duc

Art Unit 2137

Examiner Name Teslovich, T.

Attorney Docket Number | AESN3005

U. S. PATENT DOCUMENTS Name of Patentee or Publication Date , Columns, Lines, Where Cite Document Number Examiner Applicant of Cited Document MM-DD-YYYY vant Passages or Relevant Initiats* Figures Appear -Kind Code^{2 (# known)} ^{US-} 6,185,681 Zizzi, Stephen 02-2001 US- 5.584,02 12-1996 Hsu, Mike US- 5,596,718 01-1997 Boebert et al. US- 5,850,446 12-1998 Berger et al. ^{US-} 6,286,104 09-2001 Buhle et al. US-6,377,577 04-2002 Bechtolsheim et al. 04-2002 US-6,378,072 Collins et al. US- 6,249,866 06-2001 Brundrett et al US-6,647,400 1 -2003 Moran, Douglas ^{US-} 6,665,666 12-2003 Brown et a US- 6,671,773 12-2003 Kazar et al. US- 2002/0091734 07-2002 Redlich et al. US- 2003/0046366 Pardikar et al. 03-2003 US- 2003/0056095 Zliott et al. 03-2003 US- 2003/0112977 06-2003 Ray et al. US-2003/0196114 10-2003 Brew et al. US-US-

			IGN PATENT DOCL	JMENTS		_
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
Initials*	NO.	Country Code ³ Number. Kind Code (if known)	MM-DD-YYYY	Applicant or view document	Or Relevant Figures Appear	Τ°
		WO 9900958	01-1999	LEVERIDGE et al		
		JP 08272667	10-1996	NAKAMURA, Y.		
				•	· ·	L_

Examiner Signature /Tamara Teslovich/ Date Considered 07 23/2006
*EXAMINER: Initial if Afference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance.

*EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Parent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

O: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2006, OMB 0651-0031

-	•	0.5. Falent and Hadelian Onice, 0.5. DEF PARTICLE OF COMMEN
Inder the Pane	work Reduction Act of 1995	no persons are required to respond to a collection of information unless it contains a valid OMB control numb

Substitute for form 1449/PTO		Complete if Known
Substitute for form 1-mark 1.9	Application Number	10/016,897
INFORMATION DISCLOSURE	Filing Date	12/3/2001
STATEMENT BY APPLICANT	First Named Inventor	Pham, Duc
//	Art Unit	2137
(Use as many sheets as necessary)	Examiner Name	Teslovich, T.
Sheet (of 6	Attorney Docket Number	AESN3005

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE	
		CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2007	
		LUDWIG et al., File System encryption with Integrated Usr Management, October 2001 ACM	
		O'CONNELL et al., JFS: A Secure Distributed File System for Network Computers, 1999	
*			

Signature Considered

*EXAMINER: Mital if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/Tamara Teslovich/

Examiner

Date

07/23/2006

considered, include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the argument of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Pademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Approved for use through 07/31/2006, OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/016,897 Filing Date 12/3/2001 INFORMATION DISCLOSURE First Named Inventor Pham, Duc ATEMENT BY APPLICANT Art Unit 2137 (Use as many sheets as necessary) **Examiner Name** Teslovich, T. Attorney Docket Number AESN3005

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (at Annount)}	Publication Date MM-DD-YYYY	Name of Patentee or) Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,463, X72	10-31-1995	Thompson et al.	
		US- 5,931,94X	08-03-1999	Burns et al.	
		US- 5,007,082	04-09-1991	Cummins	
		US-			
		US-			
		US-			
\		US-			
		US-	<u> </u>		•
		US-			
		US-	\		
		US-			
		US-			
	·	US-			
	-	US-			
		US-	1		

	-	FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	. Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Cody (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
						L
						L

07/23/2006 Considered Signature *EXAMINER: Initial if efference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.usplo.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

/Tamara Teslovich/

Date

Translation i

Examiner

This collegion of information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Parent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND D: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE ATEMENT BY APPLICANT

(Use as many sheets as necessary)

Substitute for form 1449/PTO

Complete if Known Application Number 10/016,897 Filing Date 12/3/2001 First Named Inventor Pham, Duc Art Unit 2137 **Examiner Name** Teslovich, T. Attorney Docket Number **AESN3005**

U. S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where Document Number Publication Date Examiner Cite MM-DD-YYYY Applicant of Cited Document vant Passages or Relevant Initials* Figures Appear -Kind Code^{2 (F known)} US- 6,202, 157 Brownlie et al. 03-13-2001 ^{US-} 6,219,79 04-17-2001 Lloyd et al. US-6,246,771 Stanton et al. 06-12-2001 US- 6,253,321 06-26-2001 Nikander et al. ^{US-} 6,282,652 08-28-2001 Scheifler US- 6,158,011 12-05-2000 Chen et al. US- 6,286,104 09-04-2001 Buhle et al. US- 6,304,973 10-16-2001 Williams US- 6,438,612 08-20-2002 Ylonen et al. US- 6,354,886 03-06-2002 Howard et al. US-6,499,110 12-24-2002 Moses et al. ^{US-} 6,496,932 12-17-2002 Trieger ^{US-} 6,571,287 Knight et al. 05-27-2003 US-6,584,508 06-24-2003 Epstein et al. us- 6,502,192 12-31-2002 Nguyen US- 6,505,300 01-07-2002 Chan et al. Engel et al. US- 6,519,636 02-11-2003 03-25-2003 US- 6,539,483 Harrison et al.

**		FOREIG	GN PATENT DOCL	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ =Number ⁴ =Kind Code (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
		WO 02/103498	12-27-2002	Gladstone		
		WO 01/37095	05-25-2001	Hollander		
					•	
					·	L

Date Examiner 07/23/2006 /Tamara Teslovich/ Considered Signature

*EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is

This collegion of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450: DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND O: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE **EMENT BY APPLICANT**

Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/016,897			
Filing Date	12/3/2001			
First Named Inventor	Pham, Duc	-		
Art Unit	2137			
Examiner Name	Teslovich, T.			
Attorney Docket Number	AESN3005			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (I known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,321,841	06-14-1994	East et al.	
		US- 5,440,635	08-08-1995	Bellovin et al.	
		US- 5,349,642	09-20-1994	Kingdon	•
		^{US-} 4,503,287	03-05-1985	Morris et al.	
		^{US-} 5,586,260	12-17-1996	Hu	
		US-4,423,287	12-27-1983	Zeidler	•
		US- 5,550,984	08-27-1996	Gelb	
		^{US-} 5,506,961	04-09-1996	Carlson et al.	
		^{US-} 4,649,233	03-10-19987	Bass et al.	•
		^{US-} 5,412,717	05-02-1995	Fischer	
		^{US-} 5,701,343	12-23-1997	Takashima et al.	
		^{US-} 5,638,448	06-10-1997	Nguyen	
		^{US-} 5,682,478	10-28-1997	Watson et al.	
		^{US-} 5,657,390	08-12-1997	Zigamal et al.	
		us- 5,602,918	02-11-1997	Oben et al.	
		us- 5,649,099	07-15-1997	The mer et al.	
,	`	^{US-} 5,790,800	08-04-1898	Gauvin et al.	
		^{US-} 5,680,461	10-21-1997	McManis	
		^{US-} 5,784,463	07/21-1998	Chen et al.	

			<u>IGN PATENT DOCU</u>			
	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
	<u> </u>		MM-DD-YYYY			τ°
						L
						L
					<u> </u>	L
	L					<u></u>
Examiner Signature		/Tamara Teslo	vich/	Date Considered	07/23/2006	

*EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND 6: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006, OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number Substitute for form 1449/PTO

INFORMATION DISCLOSURE EMENT BY APPLICANT

(Use as many sheets as necessary)

Col		
Application Number	10/016,897	
Filing Date	12/3/2001	
First Named Inventor	Pham, Duc	
Art Unit	2137	7
Examiner Name	Teslovich, T.	
Attorney Docket Number	AESN3005	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,052, ₹ 85	04-18-2000	Lin et al.	
		^{US-} 5,941,94	08-24-1999	Brown et al.	
		US- 5,825,890	10-20-1998	Elgamal et al.	
		US- 6,104,716	08-15-2000	CCrichton et al.	
		US- 5,922,073	07-13-1999	Shimada	
		^{US-} 6,061,796	05-09-2000	Chen et al.	
		US- 6,084,969	07-04-2000	Wright et al.	
		US- 2001/0016907	08-23-2001	Kang et al.	
		^{US-} 2002/1094495	18-19-2002	Gladstone et al.	
		US-			
		US-	1		
		US-			· · · · · · · · · · · · · · · · · · ·
		US-			
		US-			
		US-			<u> </u>
		US-			

		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code" (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Ta
			·			
					•	
		<u> </u>				

Examiner Signature

/Tamara Teslovich/

Date Considered

07/23/2006

*EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gog or MPEP 901.04. 'Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collegion of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any complete on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND OCCUMENTS OF THE SEND AND ASSESSED OF THE SEND ASSESSED OF TH

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

nder the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMR control number

Substitute for form 1449/PTO	Complete if Known			
- 505581016 FOR FORM 174597 TO .	Application Number	10/016,897		
MICODMATION DISCLOSURE	Filing Date	12/3/2001		
INFORMATION DISCLOSURE	First Named Inventor	Pham, Duc		
STATEMENT BY APPLICANT	Art Unit .	2137		
(Use as many sheets as necessary)	Examiner Name	Teslovich, T.		
neet 16 of 16	Attorney Docket Number	AESN3005		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 52356 1	08.10.1993	Nozawa et al.	
		US- 5065429	11.12.1991	Lang	
		us- 6175924	01.16.2001	Arnold	
	•	US- 5235642	08.10.1993	Wobber et al.	
		US- 6185684	02.06.2001	Pravetz et al.	
	•	^{US-} 4588991	05.13.1986	Atalla	
		US- 5720034	02.17.1998	Case	
-		US- 5940507	08.17.1999	Cane et al.	
		US- 5150407	09,22.1992	Chan	·
		US-			
		US-			•
		US-			
		US-			
		US-			
• ==		US-	/		
		US-			
		US-			
	·	US-			
		US-			

		FOREI	GN PATENT DOCU	MENTS \ .		
	Cite No.1	Foreign Patent Document .	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
•		Country Code ³ Number ⁴ Kind Code (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰
		WO 02/093389	11.21.2002	Avida	· .	
		WO 02/093314	11.21.2002	Avida		
						L
				<u> </u>		

Examiner Signature /Tamara Teslovich/ Date Considered 07 23/2006

"EXAMINER: Initial if eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation if attached.

This collegion of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND 70: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.